

## Rules, Technical Rules and Conditions

- C001 IF "Country of Destination" (box 17a) on HEADER level contains one of the Contracting Parties, or is AD or SM  
 THEN TRADER Consignee (box 8) = "R"  
 ELSE TRADER Consignee (box 8) = "O"  
 Contracting parties are :  
 the individual countries of EFTA, or, EU as a group.
- C002 IF "Country of Destination" (ex box 17a) on GOODS ITEM level contains one of the Contracting Parties (see definition in C001), or is AD or SM  
 THEN TRADER Consignee (ex box 8) = "R" ELSE  
 TRADER Consignee (ex box 8) = "O"
- C015 IF SGI-CODES is used  
 THEN "Commodity Code" (box 33) = "R"  
 ELSE "Commodity Code" (box 33) = "O"
- C026 IF the data group 'CTL\_CONTROL' is present  
 THEN this data group/attribute cannot be used  
 ELSE IF this data group/attribute is conditional based on other conditions  
 THEN these other conditions apply  
 ELSE this data group/attribute = 'O'
- C027 IF the data group 'CTL\_CONTROL' is present THEN  
 this data group/attribute cannot be used ELSE  
 this data group/attribute = 'R'
- C045 IF "Declaration type" (box 1) = "T-"  
 THEN "Declaration type" (ex box 1) = "R" (T1, T2, T2F or T2SM) ELSE  
 "Declaration type" (ex box 1) can not be used
- C055 IF "Container" (box 19) = "1"  
 THEN "CONTAINERS (box 31)" must be present in at least one data group "GOODS ITEM".  
 ELSE "CONTAINERS (box 31)" cannot be used.
- C060 IF "Kind of packages" (Box 31) indicates "BULK" (UNECE rec 21: "VQ", "VG", "VL", "VY", "VR" or "VO")  
 THEN "Marks & numbers of packages" (Box 31) = "O"  
 "Number of packages" (box 31) can not be used "Number  
 of Pieces" (box 31) can not be used  
 ELSE  
 IF "Kind of packages" (Box 31) indicates "UNPACKED" (UNECE rec 21 : = "NE"; "NF" or "NG")  
 THEN "Marks & numbers of packages" (Box 31) = "O"  
 "Number of packages" can not be used  
 "Number of Pieces" (box 31) = "R"  
 ELSE "Marks & numbers of packages" (Box 31) = "R"  
 "Number of packages" (box 31) = "R"  
 "Number of Pieces" (box 31) can not be used
- C075 IF "Additional Information id" (Box 44) = "DG0" or "DG1"  
 THEN "Export from EC" or "Export from country" (Box 44) = "R"  
 ELSE "Export from EC" and "Export from country" (Box 44) can not be used.
- C112 IF 'CONTROL RESULT' is present (Simplified procedure) or  
 IF the first two digits of 'CUSTOMS OFFICE of Destination.Reference number' consist of an EU Member State  
 country code  
 THEN 'TIN' = 'R'  
 ELSE 'TIN' = 'O'.
- C113 IF the first two digits of 'CUSTOMS OFFICE of Destination.Reference number' consist of an EU Member State  
 country code  
 THEN 'TIN' = 'R'  
 ELSE 'TIN' = 'O'
- C135 IF only 1 Country of dispatch is declared  
 THEN "Country of dispatch (box 15a)" on Header level = "R"  
 "Country of dispatch (ex box 15a)" on GOODS ITEM level can not be used  
 ELSE "Country of dispatch (box 15a)" on Header level can not be used  
 "Country of dispatch (ex box 15a)" on GOODS ITEM level = "R".
- C140 IF only 1 Country of destination is declared  
 THEN "Destination country (box 17a)" on Header level = "R"  
 "Destination country (ex box 17a)" on GOODS ITEM level can not be used  
 ELSE "Destination country (box 17a)" on Header level can not be used  
 "Destination country (ex box 17a) GOODS ITEM level = "R".
- C155 IF "Simplified procedure flag" = "YES"  
 THEN "Arrival agreed location of goods" can not be used  
 "Arrival agreed location code" can not be used "Customs  
 sub place" can not be used  
 "Arrival authorised location of goods" = "O"  
 ELSE "Arrival authorised location of goods" can not be used  
 "Customs sub place" = "O"  
 "Arrival agreed location of goods" = "O". "Arrival  
 agreed location code" = 'O'.
- C160 IF TIN of Trader is used  
 THEN all attributes marked with this condition = "O" if already known by NCTS  
 ELSE all attributes marked with this condition = "R".
- C200 IF "State of seals ok" = "NO"  
 THEN SEALS INFO = "R"

- ELSE SEALS INFO = "O".
- C210 IF UNLOADING RESULT, "Conform" = "YES" (NO remarks)  
THEN All data groups and attributes marked with "Cond 210" can not be used ELSE  
All data groups and attributes marked with "Cond 210" = "R" when relevant.
- C312 IF 'Diversion Rejection Code'/'Entry Rejection Motivation Code' = '4' (Other)  
THEN 'Diversion Rejection Text' = 'R'  
ELSE 'Diversion Rejection Text' = 'O'
- C902 IF "Document Type (box 44)" = '952',  
THEN "Document Reference (box 44)" = 'R'  
ELSE "Document Reference (box 44)" = 'O'  
The document reference in case of Document Type '952' has to have the format an10 and must follow the algorithm used by IRU.
- C904 IF 'Declaration Type' = 'TIR',  
THEN "Holder ID TIR" = 'R',  
ELSE "Holder ID TIR" cannot be used.
- R005 "Item no" (box 32) is always used even if "Items" (box 5) = "1", "Item no" (box 32) is also "1".
- R007 Each 'Item no' (box 32) is unique throughout the declaration The items shall be numbered in a sequential fashion, starting from '1' for the first item and incrementing the numbering by '1' for each following item".
- R010 If the same Consignor/Exporter is declared for all goods items, the data group 'TRADER Consignor (box 2)'/TRADER Exporter (box 2)' on TRANSIT OPERATION/EXPORT OPERATION/IMPORT OPERATION level is used. The data group 'TRADER Consignor (ex box 2)'/TRADER Exporter (box 2)' on GOODS ITEM level can not be used.
- R011 When the same Consignee is declared for all goods items, the data group 'TRADER Consignee (box 8)' on TRANSIT OPERATION/EXPORT OPERATION level is used. The data group 'TRADER Consignee (ex box 8)' on GOODS ITEM level cannot be used.
- R012 The attribute is sent if it was provided in the corresponding message that was received from the Trader.
- R021 A zero '0' is to be considered as a valid number in this field.
- R060 When the Trader uses Commodity codes then he must declare at least 4 and up to 8 digits of the commodity code.
- R075 'Export from EC' and 'Export from country' can not be used at the same time. When 'Export from EC' is used, the value of this attribute is set to '1'.
- R123 From the originally received IE, only the attributes in error are transmitted back to the Trader, indicating whether the attribute(s) in question is (are) missing or incorrect.
- R150 The attribute "Corrected value" of the data group "RESULTS OF CONTROL" is linked with each single attribute that can be subject of a control and therefore it must reflect the same characteristics of the original message attribute.
- R155 The data group SGI-CODES must be present in case the declaration contains Sensitive Goods.
- R156 A "Sensitive Quantity" is always required when the movement contains sensitive goods (see also Rule 155). The "Sensitive Goods Code" is not always required when the movement contains sensitive goods. If the HS6 commodity code (Box 33) is enough to uniquely identify a sensitive good then the "Sensitive Goods Code" is not required. If the HS6 commodity code (Box 33) is not enough to uniquely identify a sensitive good then the "Sensitive Goods Code" becomes required.
- R174 When simplified procedure is used then the TIN becomes "R" else the TIN is "O".
- R186 "Unloading completion" is used as a flag, it can contain 2 possible values;  
"0" = "NO" This means that the unloading of the goods is not yet completed; "1"  
= "YES" This means that the goods are completely unloaded.
- R190 Either "New transport means identity" and "New transport means nationality" OR "New container number" OR both must be used when "TRANSHIPMENT" occurred.
- R195 The purpose of this IE is to communicate the permission to start unload the goods (or to go on with the unloading) to the Authorised Consignee.
- R200 "State of seals ok" is used as a flag and can contain 2 values:  
"State of seals ok" = "0" (NO)  
"State of seals ok" = "1" (YES).
- R205 "Conform" is used as a flag, it can contain 2 possible values;  
"0" = "NO" there are unloading remarks;  
"1" = "YES" no unloading remarks present.
- R206 When no seals are found attached to the consignment and the information brought in by the AAR indicated the use of seals then SEALS ID can not be used, also, the attribute "Seals Number" is set to zero and an explanation must be given in "Unloading Remark" (= "R"). In all other cases the data group SEALS ID is required.
- R230 This attribute is used as a flag, its value can be either "0" ("no") or "1" ("yes").
- R240 When the data group "EN ROUTE EVENT" is present then the data groups "INCIDENT" or "TRANSHIPMENT" or both must be present, complemented, where relevant, with the data group "NEW SEALS INFO".
- R245 "Customs sub place", "Arrival authorised location of goods" and "Arrival Agreed Location Of Goods / Arrival Agreed Location Code" can not be used at the same time.
- R340 When the messages 43 E\_ULD\_PER and/or 7 E\_ARR\_NOT contain SEALS INFO then the "State of seals ok" must be communicated.
- R510 The attribute 'Continue unloading' of the data group CTL-CONTROL indicates with its progressive numbering, starting from 1, that the IE043 is transmitted to give the first or further permission to continue the unloading.  
Where the IE brings the permission to start the unloading the data group CTL\_CONTROL can not be used.  
Where the IE communicates the permission to continue the unloading then only the attribute MRN of the

- data group TRANSIT OPERATION and the data groups CTL\_CONTROL, CUSTOMS OFFICE Presentation Office and TRADER At Destination are used, all the other data groups and attributes can not be used.
- TR0002 The Control Message (IE17/IE18/IE44/IE51/IE517/IE518) always reports back the following fields at the HEADER level only if they are available in the relevant declaration message (IE15/IE515 {IE01/IE501 or IE03/IE503}/IE43 respectively):
- Identity of means of transport at departure (exp/trans)
  - Nationality of means of transport at departure
  - Total number of packages
  - Total gross mass
  - Specific Circumstance Indicator
  - Transport Charges – Method of Payment
  - Commercial Reference Number
- These fields are returned for the information of Customs Officers and are NOT verified on receipt against the original values."
- TR0003 If errors are found at the HEADER level, then RoC-Control Indicator is set to:
- DI (DIfferent values found) or
  - OT (any OTher things to report)
- If errors are found at the level of GI then GI-RoC-Control Indicator can be set to:
- OR (ORiginal values of the Goods item),
  - DI (DIfferences in values for a Goods Item),
  - NE (values of a New Goods item) – this value will not occur in the ECS domain,
  - NP (Not Presented document) or
  - OT (OTher)
  - NX (Not eXited) – this value will not occur in the NCTS domain.
- TR0005 When the RoC-Control Indicator is equal to DI, this data item is Required.  
When the RoC-Control Indicator is equal to OT, this data item cannot be used.
- TR0006 When the RoC-Control Indicator is equal to OT, this data item is Required.  
When the RoC-Control Indicator is equal to DI, this data item is Optional.
- TR0007 The GI data group is Required only when discrepancies have been found in one or more GI or when a new goods item has been found during the control or when some of the GI or part of a single GI has not exited.
- TR0008 This data group shall be used in the following cases:
- when the Control Indicator in the accompanying RoC-group is equal to 'DI' or 'NX' or 'NE', the group will list the information found, if available.
  - when the Control Indicator in the accompanying RoC-group is equal to 'OR', the group will list the information received with the declaration, if present
- TR0009 This data item is Required only when the value of the accompanying Control Indicator is equal to OT. For other values of the Control Indicator, it is Optional.
- TR0010 This data item is Required when the accompanying Control Indicator is equal to NP. In all other cases, this data item must not be used.
- TR0011 (Unsatisfactory -> Discrepancies at the level of a GI are found – Control Indicator=DI).  
Two GI groups are specified, one for the Original GI (GI-OR) and one for the Found GI (GI-DI).  
- GI-OR specifies all the data-groups and data-items present in Goods item of the original IE001/IE501 and also includes a RoC - Control Indicator with the value OR.  
- GI-DI specifies the information for the goods item as found.  
It contains at least the RoC - Control Indicator with the value DI. Eventually, other Results of Control groups with values NP or OT can be added, specifying respectively not presented documents and any other things to report on the goods item. A missing Goods Item should be treated like a discrepancy.  
The found 'Goods Item' group should then be empty apart from the 'Item number' field and the 'RoC' groups.
- TR0012 (Unsatisfactory -> Missing document – Control Indicator=NP)  
For each document missing, a RoC-group with Control Indicator=NP will be added.
- TR0013 (Unsatisfactory -> New GI found during the control - Control Indicator=NE).  
For each new GI found during the control, an extra GI group is specified, containing all information as found and one Results of Control group with Control Indicator=NE.
- TR0014 (Unsatisfactory -> Document Not Presented - Control Indicator=NP)  
GI - RoC - Control Indicator is set to NP. The Pointer to the attribute contains a reference to the missing document in the format 44#n (n being the number of the document).
- TR0018 The hash symbol ('#') is used to indicate the numbering of subdivision or occurrence (i.e. 'box\_number # subdivision/occurrence').
- TR0019 The numbering of items:
- In case of any difference being found in a Goods Item, the item numbering is equal to numbering defined in the declaration.
  - In case of a new Goods Item, the item number is equal to the number of the last Goods Item in the declaration + 1.
- TR0021 The value of this field must be larger than zero (0).
- TR0035 Technical Rules "TR9090" and "TR9095" can not be checked in case "Inland mode of transport" (box 26) is not used.
- TR0099 This data item must be entered if the message is encoded in EDIFACT and the corresponding free text field is not in the UNOC character set. It is otherwise optional.  
If the data item is filled in, it must contain the language code of the language used in the corresponding free text field.
- TR0101 This field is to be validated against Codelist 39 (Additional information coded codes) if used in a Common Domain message. This field is to be validated against the Additional information coded valid in the NA if used in an External Domain message.
- TR0102 In NCTS only 4 or 6 characters can be used in this data item.
- TR0103 This field is to be validated against Codelist 13 (Document Type) if used in a Common Domain message.  
This field is to be validated against the Document Type valid in the NA if used in an External Domain message.
- TR9030 The "Incident flag" data item is required if the data item "Incident information" is not present; in order to indicate that

- an incident has occurred and no other information is available. In any other case , the "Incident flag" data item is optional.  
The only allowed value of the field is the value '1'.
- TR9085 In Normal Mode of Operation if this field is used it must have a value of 0.
- TR9090 IF first digit of 'Inland transport mode' (box 26) = '5' or '7'  
THEN the 'Identity of means of transport at departure ' (box 18) can not be used"  
ELSE  
IF "Container number" is present  
THEN the 'Identity of means of transport at departure' is 'O'  
ELSE it is "R"
- TR9095 IF first digit of 'Inland transport mode' (box 26) = '2', '5' or '7'  
THEN the 'Nationality of means of transport at departure' (box 18) can not be used ELSE  
IF "Container number" is present  
THEN the 'Nationality of means of transport at departure' is 'O' ELSE  
it is "R"
- NR001 The field "Common access reference" identifies the simplified procedure authorisation code and the arrival authorised location of goods code. It has to be given in the format XXXXX.YYYYY (e.g. 12345A.67890B) where  
XXXXX = Simplified procedure authorisation code (e.g. 12345A)  
YYYYY = Arrival authorised location of goods code (e.g. 67890B)  
The field "Arrival authorised location of goods" can be used to declare the arrival authorised location of goods in a textual format. However, in case of discrepancies between the "Arrival authorised location of goods" field and the "Arrival authorised location of goods code" included in the "Common access reference" field, only the code will be taken into account.
- NR002 This attribute is used as a flag; its value can be only "1" ("yes")